

**SUPPLEMENTAL  
DECLARATION FOR UTILITY  
OR DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Attorney Docket Number	33154.3
First Named Inventor	Jay C. Engstrom
<b>COMPLETE IF KNOWN</b>	
Application Number	10// 042,785
Filing Date	January 9, 2002
Group Art Unit	3634
Examiner Name	Bruce Allen Lev

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TREE CLIMBING APPARATUS

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 01/09/2002 as United States Application Number or PCT International

Application Number 10/042,785 and was amended on (MM/DD/YYYY) 04/17/2003 (if applicable).

I hereby declare that the subject matter of the ☐ attached amendment ☒ amendment filed on 04/17/2003 was part of my or our invention and was invented before the filing date of the original application, above identified for such invention.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

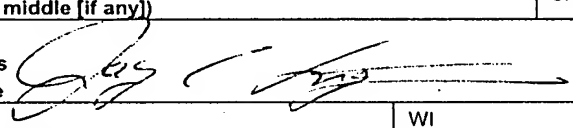
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

**Burden Hour Statement:** This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**SUPPLEMENTAL DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:		<input type="checkbox"/> Customer Number or Bar Code Label	<div style="border: 1px solid black; padding: 2px;">32300</div>	OR	<input type="checkbox"/> Correspondence address below
Name					
Address					
Address					
City		State		ZIP	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])			Family Name or Surname		
Jay C.			Engstrom		
Inventor's Signature			Date		
			6-11-03		
Luck		WI	USA	USA	
Residence: City		State	Country	Citizenship	
1294 235 <sup>th</sup> Avenue					
Mailing Address					
Mailing Address					
City Luck		State WI	Zip 54853	Country USA	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])			Family Name or Surname		
Inventor's Signature			Date		
Residence: City		State	Country	Citizenship	
Mailing Address					
Mailing Address					
City		State	Zip	Country	
<input type="checkbox"/> Additional Inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

01-23-2002

Form PTO-1595

RE

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)



ET

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

101955371

Tab settings ⇌ ⇌ ⇌

to the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Jay C. Engstrom

01/09/02

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Ardisam, Inc.

Internal Address: P.O. Box 666

Street Address: 1360 1<sup>st</sup> Avenue

City: Cumberland State: WI Zip: 54829

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other: \_\_\_\_\_

Execution Date: December 26, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is: December 3, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Gerald E. Helget

Internal Address: Suite 2000

Street Address: 333 S. Seventh Street

City: Minneapolis State: MN Zip: 55402

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41) . . . . . \$ 40

- ☒ Enclosed
- ☐ Authorized to be charged to deposit account

## 8. Deposit account number:

50-1188

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald E. Helget

Name of Person Signing

Signature

January 9, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

01/22/2002 DBYRNE 00000158 10042785

01 FC:581

40.00 DP

ASSIGNMENT

WHEREAS, Jay C. Engstrom, a citizen of the United States of America, residing at 1294 235<sup>th</sup> Avenue, Luck, Wisconsin 54853, made an invention disclosed and claimed in an application for United States letters patent entitled TREE CLIMBING APPARATUS and signed of even date herewith;

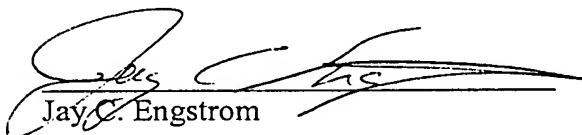
WHEREAS, Ardisam, Inc., having a principal place of business at 1360 1<sup>st</sup> Avenue, P.O. Box 666, Cumberland, Wisconsin 54829, is desirous of acquiring an interest in and to said invention, and in and to the letters patent that issues thereon;

NOW, THEREFORE, Be It Known, that for good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the entire right, title and interest of said Jay C. Engstrom, in and to said application for United States letters patent, and the invention disclosed and claimed therein, the resultant patent or patents for said invention, and any renewals, reissues, extensions, substitutions, continuations or divisions thereof, continuations-in-part thereof, including all rights to apply for and obtain patents therefore in all foreign countries, is hereby sold, assigned and transferred unto said Ardisam, Inc.

Jay C. Engstrom agrees to sign such papers, testify orally and do other things at the expense of Ardisam, Inc., or its successors or assigns, as may be reasonably necessary for the purpose of obtaining and enforcing such patents.

The Commissioner of Patents is hereby authorized and requested to issue said letters patent in accordance with this agreement.

Signed at Barron County, WI this 26<sup>th</sup> day of December, 2001.

  
Jay C. Engstrom

STATE OF Wisc.       )  
                                  ) ss.  
COUNTY OF WASHBURN )

Subscribed and sworn to before me this 26<sup>th</sup> day of December, 2001.

  
Notary Public

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re. Appln: Jay C. Engstrom  
Serial No.: 10/042,785  
Filed: January 9, 2002  
For: TREE CLIMBING APPARATUS  
Confirmation No.: 5180  
Attorney: Gerald E. Helget  
Attorney Docket No.: 33154.3  
Additional Fees: Charge to Deposit Account 023732

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE  
STATEMENT BEFORE MAILING DATE OF A FIRST OFFICE ACTION**

Applicant submits herewith one (1) reference as listed on the attached Information Disclosure Statement by Applicant.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Dated: 9 May 02

By Gerald E. Helget  
Gerald E. Helget (Reg. No. 30,948)  
BRIGGS AND MORGAN, P.A.  
2400 IDS Center  
Minneapolis, MN 55402  
Telephone: (612) 334-8480

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date indicated below.

By Gerald E. Helget  
Dated 9 May 02